COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/918,563

IBM DOCKET NO. AUS920010562US1

DATE: April 5, 2005

DATE: April 5, 2005

Number of Pages to Follow (including this cover sheet) 5

RECEIVED
CENTRAL FAX CENTER

APR 0 5 2005

SEND TO:

United States Patent Office

Examiner:

Group Art Unit:

2681

Tel No:

Fax No:

703-872-9306

FROM:

Leslie A. Van Leeuwen

Van Leeuwen & Van Leeuwen Registered Patent Attorneys 6123 Pebble Garden Court Austin, Texas 78739

512-301-6738 512-301-6742

Tel No: Fax No.

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Docket No	. AUS920010562U	S1 Serial No	. 09/918,563	Atty: JSL/JVL
Applicant:	Barillaud			
X_ Tra	insmittal Letter (2 cop	ies) <u>X</u>	Certificate of Face	simile
An	nendment (page:	s)	Notice of Appeal	
An	nendment AF		Appeal Brief (3 c	opies)
Ext	t. of Time		Reply Brief	·
<u>X</u> ID:	S Statement		Change of Address	SS
X For	rm PTO-1449 (Modif	ied)		
Otl	her			
Deposit A	cct. No. 09-0447			
Fees: Am	endment N	lotice of Appeal	Appeal Brie	of Other \$0.00

Atty Ref. No. IBM-1033

COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/918,563

IBM DOCKET NO. AU\$920010562U\$1

DATE: April 5, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Certificate of Mailing or Transmission I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below. Date Van Leeuwen

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Barillaud

System and Method for Providing Efficient and Secure Data Exchange Using Strip

Information Elements

Enclosed are:

Information Disclosure Statement; X

Modified form 1449 listing 3 references; \mathbf{X}

copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- This IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § <u> X</u> 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge payment of the following fees <u>X</u> associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
 - Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

Leslie A. Van Leeuwen Attorney for Applicant Registration No. 42,196

Telephone: (512) 301-6738 Facsimile: (512) 301-6742

COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/918,563

IBM DOCKET NO. AUS920010562US1

DATE: April 5, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States
Postal Sarvice with sufficient postage as first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Leslie A. Van Leeuwen

Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Barillaud

For: System and Method for Providing Efficient and Secure Data Exchange Using Strip

Information Elements

Enclosed are:

X Information Disclosure Statement;

X Modified form 1449 listing 3 references;

copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- X This IDS is being filed pursuant to CFR § 1.197(b), therefore no fee as set forth in § 1.17(p) is believed to be due. If, however, more than three months have elapsed since the filing date and a first Office action on the merits has already been mailed, then please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. A duplicate copy of this sheet is enclosed.
- X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
 - X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

y Charlet A. Varion

Leslie A. Van Leeuwen Attorney for Applicant

Attorney for Applicant Registration No. 42,196

Telephone: (512) 301-6738 Facsimile: (512) 301-6742

COMMISSIONER FOR PATENTS Washington, D.C. 20231

SERIAL NO. 09/918,563

IBM DOCKET NO. AUS920010562US1

DATE: April 5, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Barillaud

Serial No.: 09/918,563

Filed: July 31, 2001

Title: System and Method for Providing

Efficient and Secure Data Exchange Using Strip Information Elements

Group Art Unit: 2681

Intellectual Property Law Department

International Business

Machines Corporation Intellectual Property Law Dept.

RECEIVED **CENTRAL FAX CENTER**

11400 Burnet Road : Austin, Texas 78758

APR 0 5 2005

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

Leslie A. Van Leeuwen Van Leeuwen & Van Leeuwen

Attorneys for Applicant Registration No. 42,196 Telephone: (512) 301-6738 Facsimile: (512) 301-6742

Page 1 of 1

In Place of FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT			Applicar Filing D Group: 2	Serial No. <u>09/918,563</u> Applicant: <u>Barillaud</u> Filing Date <u>; 7/31/2001</u> Group: <u>2681</u> Atty. Docket No. <u>AUS920010562US1</u>					
			U.S. PA	TENT DOCU	MENTS				
Examiner <u>Initial</u>	AA	Document Number 6,622,157	<u>Date</u> 09-2003	<u>Na</u> Hedday	ra et al.	Classification Class / Subclass 709/202 709/202	Filing Date if Appropriate		
	AB AC AD	6,148,327 6,233,601	11-2000 05-2001	Whitebro Walsh, T		709/202			
	AE AF								
	AG AH AI								
	AJ AK								
	AL								
			FOREIGN	PATENT DO	CUMENTS		m 1 - 12		
Examiner <u>Initial</u>	434	Document Number		Date	Country	Classification	Translation Yes No		
	AM AN AO								
	AP								
Examiner Initial	OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ AR								
	AS AT AU						<u></u>		
Examiner:		Date Considered:							
EXAMINE through cit applicant.	R: Initation if	tial if reference of not in conforma	onsidered, who	ether or not ci onsidered. In	tation is in c	conformance with MPI f this form with next	BP 609; Draw liconmunication		